



State of North Carolina

OFFICE OF THE COMMISSIONER OF BANKS

Non-Depository Entities Reporting Deadlines

I. MORTGAGE LENDERS, BROKERS, AND SERVICERS		
Financial Statements (04 NCAC 03M .0401(b))	Due within 90 days after company fiscal year end	
Verification of Deposit (Brokers Only) (04 NCAC 03M .0401(a))	Due on or before 31-Mar	
NC Annual Report (04 NCAC 03M .0401(a))	Due on or before 31-Mar	
Assessments (N.C. Gen. Stat. § 53-244.100A)		
Billed	1-Aug	
Due	Upon receipt	
Mortgage Lenders Loan Level Data Upload (Brokers, Lenders) (04 NCAC 03M .0401(c))		
Quarter	Quarter Ending	Report Due
1st Quarter	31-Mar	15-May
2nd Quarter	30-Jun	14-Aug
3rd Quarter	30-Sep	14-Nov
4th Quarter	31-Dec	14-Feb
Mortgage Call Reports (04 NCAC 03M .0401(d))		
Quarter	Quarter Ending	Report Due
1st Quarter	31-Mar	15-May
2nd Quarter	30-Jun	14-Aug
3rd Quarter	30-Sep	14-Nov
4th Quarter	31-Dec	14-Feb
II. MORTGAGE LOAN ORIGINATORS (MLO) RENEWAL DEADLINES FOR CONTINUING EDUCATION (CE) (N.C. GEN. STAT. § 53-244.102)		
CE Courses reported to NMLS by:		
Smart Deadline for Renewal Request	18-Dec	
At Risk to Miss Renewal	24-Dec	
Will Miss Renewal	31-Dec	
III. CHECK CASHERS		
Annual Report (04 NCAC 03L .0502(b))	1-Jul to 1-Sep	
Renewal Period (04 NCAC 03L .0303)	1-Jul to 1-Sep	

IV. CONSUMER FINANCE		
Annual Report (N.C. Gen. Stat. § 53-184(b); 04 NCAC 03E .0302)	Due on or before 31-Mar	
Consumer Finance Loan Level Data Upload (N.C. Gen. Stat. § 53-184(a))		
Quarter	Quarter Ending	Report Due
1st Quarter	31-Mar	15-May
2nd Quarter	30-Jun	14-Aug
3rd Quarter	30-Sep	14-Nov
4th Quarter	31-Dec	14-Feb
Assessments (N.C. Gen. Stat. § 53-167)		
Billed	1-Jul	
Due	Upon receipt	
V. REFUND ANTICIPATION LOAN FACILITATORS (RALS)		
Renewal Period (N.C. Gen. Stat. § 53-248(b))	1-Sept to 1-Dec	
VI. MONEY TRANSMITTERS		
Annual Report (N.C. Gen. Stat. § 53-208.53(a); NMLS)	Due within 90 days after calendar year end.	
Assessments (N.C. Gen. Stat. § 53-208.49(b))		
Billed	1-Aug	
Due	Upon receipt	
Quarterly Call Reports (N.C. Gen. Stat. § 53-208.53(b))		
Quarter	Quarter Ending	Report Due
1st Quarter	31-Mar	30-May
2nd Quarter	30-Jun	30-Aug
3rd Quarter	30-Sep	29-Nov
4th Quarter	31-Dec	1-Mar