



# State of North Carolina

OFFICE OF THE COMMISSIONER OF BANKS

## VERIFICATION OF DEPOSIT ACCOUNT

Rev. 04/15/2025

Pursuant to North Carolina Administrative Code Rules [04 NCAC 03M .0205](#) and [.0401\(a\)](#), mortgage brokers, as part of their annual report, must provide certification from an insured depository institution that a deposit account in the mortgage broker's name exists and has continuously maintained an account balance of \$10,000 or more. To fulfill this requirement, **please have your financial institution complete, sign, and submit this form via email to [VOD@nccob.gov](mailto:VOD@nccob.gov) on or before March 31<sup>st</sup> annually, with the subject line: 10k Liquid Asset Verification [your company name and NMLS ID number].**

Mortgage Broker Company Name	
Mortgage Broker NMLS #	
Name of Insured Depository Institution	
Company Name on Account	
Account Type (e.g. Checking, Savings, etc.)	
Account Number	
Current Account Balance	
Account Open Date	
Has the mortgage broker continuously maintained an account balance of \$10,000 or more?	Yes <input type="checkbox"/> No <input type="checkbox"/>

**The undersigned represent and acknowledge that the information contained herein is current, true, and complete. This information will be relied upon by the Commissioner of Banks.**

Authorized Mortgage Broker Employee Information	
Printed Name	
Title	
Telephone number	
Email address	
Financial Institution Employee Information (NOTE: This employee must be authorized to sign on the financial institution's behalf.)	
Printed Name	
Title	
Telephone number	
Email address	

**This form will not be accepted and processed without signatures and dates from both the mortgage broker and the financial institution.**

	Signature	Date
Mortgage Broker Employee		
Financial Institution Employee		