



# State of North Carolina

OFFICE OF THE COMMISSIONER OF BANKS

## Verification of Deposit Account

Rev. 09/21/2022

Pursuant to North Carolina Administrative Code Rules [04 NCAC 03M .0205](#) and [.0401\(a\)](#), mortgage brokers, as part of their annual reporting requirements, must provide certification from an insured depository institution that a deposit account in the mortgage broker's name exists and has continuously maintained an account balance of \$10,000 or more. To fulfill this requirement, **please have your financial institution complete, sign, and submit this form via email to [VOD@nccob.gov](mailto:VOD@nccob.gov) on or before March 31<sup>st</sup> annually, with the subject line: 10k Liquid Asset Verification [your company name and NMLS ID number].**

Mortgage Broker Company Name	
Mortgage Broker NMLS #	
Name of Insured Depository Institution	
Company Name on Account	
Account Type (e.g. Checking, Savings, etc.)	
Account Number	
Current Account Balance	
Account Open Date	
Has the mortgage broker continuously maintained an account balance of \$10,000 or more?	Yes <input type="checkbox"/> No <input type="checkbox"/>

**The undersigned represent and acknowledge that the information contained herein is current, true, and complete. In addition, the employee signing on behalf of the financial institution should be authorized to do so. This information will be relied upon by the Commissioner of Banks.**

Signature of Authorized Mortgage Broker Employee	
Printed Name	
Title	
Telephone number	
Email address	

Signature of Financial Institution Employee	
Printed Name	
Title	
Telephone number	
Email address	
Date	